

GCOR V

RMA's 5th Annual Governance, Compliance, and Operational Risk Conference

As we begin to emerge from the financial crisis, we find ourselves in a complex, new economic and regulatory environment. Please join us for GCOR V, where we will discuss how ERM and the development of risk appetite policy statements integrate with governance, compliance and operational risk, as well as the increasing demands being made upon practitioners in the wake of the Dodd-Frank Act. Understanding this interplay in the post-crisis environment is essential to moving your institution forward in the short term.

GCOR V was developed for the industry by the industry, using a steering committee featuring members of RMA's Operational Risk Council. Curriculum tracks include discussions of AMA, the use of insurance to hedge operational risks, and the development and use of scenario analysis; the development of risk appetite policy statements, risk tolerance and predictive indicators of risk, and operational risk reporting; as well as boundaries between risks, gaps between process and control design, and the linkage between risk management and strategic objectives. Discussions will also focus on the impact of Dodd-Frank on operational risk practitioners, including the impact of operational risk on incentive compensation arrangements.

Conference Agenda

May 3, 2011

8:15 a.m. – 8:30 a.m.	Welcome – <i>Ed DeMarco</i> , General Counsel and Director, Operational Risk Management, RMA		
8:30 a.m. – 9:15 a.m.	Keynote Speaker – <i>Dan Roussell</i> , SVP and Head of Operational Risk, State Street, RMA Operational Risk Council Chair		
9:15 a.m. – 10:00 a.m.	Enterprise Risk Management and Risk Appetite – <i>Ken Phelan</i> , former CRO of Fannie Mae		
10:00 a.m. – 10:30 a.m.	Break		
	TRACK 1	TRACK 2	TRACK 3
10:30 a.m. – 11:15 a.m.	RCSAs <i>Dean Marotta</i> , EVP and Director of Risk Management, Zions Bancorporation	Development of Risk Appetite Policy Statement <i>William Popp</i> , CEO, POPP risk GROUP	Boundaries between Credit and Operational Risk: Who Is Managing Them? <i>Eric Holmquist</i> , President, Holmquist Advisory LLC
11:20 a.m. – 12:05 p.m.	Operational Risk and Decision-making: A Business Line Perspective <i>Mike Haubensstock</i> , SVP Operational Risk Management, SunTrust Bank	The Value of Clear Roles and Responsibilities and Governance in the Sound Management of Operational Risk <i>Greg Montana</i> , SVP, Senior Operational Risk Executive, Bank of America <i>Rick Parsons</i> , Corporate Operational Risk Executive, Bank of America	Cost of Poor Quality: Where Operational Risk Truly Lies <i>Lawrence Shaw</i> , Vice President, Enterprise Execution Services, SunTrust Banks, Inc.
12:15 p.m. – 1:15 p.m.	Lunch		
1:30 p.m. – 2:15 p.m.	Use of Insurance to Hedge Operational Risk Exposure <i>Neeta Atkar</i> , Group Financial Crime and Operational Risk Director, Lloyds Banking Group	Operational Risk Reporting: What Senior Management Wants from Operational Risk <i>Linda Grandstaff</i> , EVP and Chief Operational Risk Officer, KeyBank	Exploring the Linkage between Risk Management and Strategic Objectives <i>Joe Iraci</i> , Head of Operational Risk, TD Ameritrade
2:15 p.m. – 3:00 p.m.	Development and Use of Scenario Analysis <i>Earl Merriman</i> , Senior Manager, Operational Risk Management, TD Bank	Risks Associated with Cloud Computing <i>Brian Barnier</i> , ValueBridge Advisors	From Earthquakes to Insider Trading: Looking at Recent External Events <i>Laura Polak</i> , Director, OpRisk Content, Algorithmics
3:00 p.m. – 3:30 p.m.	Break		
3:30 p.m. – 4:15 p.m.	Operational Risk and Social Media <i>Ed DeMarco</i> , General Counsel and Director, Operational Risk Management, RMA	Mobile Payment Systems Risk <i>Lisa Robinson</i> , Head of Risk Management, Internet Services Group, Wells Fargo	KRI/Score cards: How to Develop Detailed, Meaningful Scorecards <i>Todd Cooper</i> , VP and General Manager, Enterprise Risk and Compliance, Wolters Kluwer Financial Services
4:15 p.m. – 5:00 p.m.	AMAG Plenary Session		
5:30 p.m. – 6:30 p.m.	Reception		

Conference Agenda

May 4, 2011

8:30 a.m. – 9:15 a.m.	Nexus of Operational Risk and Compliance: The Path Forward – <i>Caryl Athanasiu</i> , Executive Vice President, Head of Operational Risk, Wells Fargo		
9:15 a.m. – 10:00 a.m.	Impact of Dodd-Frank on Operational Risk Practitioners – <i>Philippa Girling</i> , Chief of Staff, Morgan Stanley		
10:00 a.m. – 10:30 a.m.	<i>Break</i>		
	TRACK 1	TRACK 2	TRACK 3
10:30 a.m. – 11:15 a.m.	In the New Post-Crisis Environment: Creating a Meaningful and Strategic Operational Risk Capability <i>Stephanie Head</i> , Ph. D, President, OpRisk Associates LLC	Dodd-Frank: The impact of Whistleblower Provisions <i>Kathy Jafarri</i> , Partner, Saul Ewing, LLP	Business Continuity Planning in the Face of Black Swans <i>Ken Peterson</i> , Founder and CEO, Churchill & Harriman
11:20 a.m. – 12:05 p.m.	Internal Data and Loss Experience <i>Kevin Slane</i> , EVP, Enterprise Risk Management, First Horizon	Impact of Operational Risk on Incentive Compensation Arrangements <i>Jodi Richard</i> , EVP, Regional Head of Operational Risk and Control, Risk Governance and Administration, HSBC North America	Identification of Emerging Threats: Corporate Security in the Wake of WikiLeaks <i>Ken Weinstein</i> , Principal, Weinstein Advisory Services <i>Michael W. Wanik</i> , CPP, CBCP, Vice President, Security and Investigations, SSC, Inc. Corporate Security Services
12:15 p.m. – 1:00 p.m.	Managing Operational, Liquidity, and Counterparty Risks in an Unsettled Marketplace <i>Edward Dumas</i> , Director of Basel II Assurance, Bank of America	Impact of the Consumer Financial Protection Bureau <i>Walter E. Zalenski</i> , Weil, Gotshal	Lessons Learned: Operational Risk Software Selection and Implementation <i>Mike Zanolio</i> , Director, Wells Fargo
1:00 p.m. – 3:00 p.m.	<i>Lunch, followed by Chief Operational Risk Officers Panel</i>		

Silver Sponsor



Partial list of exhibitors



Hotel Information

Hyatt Regency Cambridge

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3. By Fax: Fax your registration with your credit card number and signature to RMA at 215-446-4100. Please call RMA 24 hours after faxing to confirm registration.
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This section must be filled out in order to properly process your registration.

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- Portfolio Management Officer
- Regulator/Examiner
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- Securities Lending
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